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PTO/SB/05 (4/98)  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Approved for use through 09/30/2000. OMB 0651-0032

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

081862.P137

First Inventor or Application Identifier

David A. Hughes

Title

METHOD FOR IMPLEMENTING AUTOMATIC PROTECTION SWITCHING

Express Mail Label No.

EL123182159US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (preferred arrangement set forth below) [Total Pages 22]  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages ]  
a. ☐ Newly executed (original copy)  
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: . . . . .

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label

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or ☒ Correspondence address below

Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
Address	12400 Wilshire Boulevard, Seventh Floor					
City	Los Angeles	State	California	Zip Code	90025	
Country	U.S.A.	Telephone	(714) 557-3800	Fax	(714) 557-3347	

Name (Print/Type)

Roger W. Blakely, Jr., Reg. No. 25,831

Signature

*Roger W. Blakely, Jr.*

Date

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<b>FEE TRANSMITTAL</b>		<i>Complete if Known</i>																																																																																																																																																																																			
<p><i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R §§ 1.27 and 1.28.</i></p>		<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="width:50%;">Application Number</td><td style="width:50%;"></td></tr> <tr><td>Filing Date</td><td>February 16, 1999</td></tr> <tr><td>First Named Inventor</td><td>David A. Hughes</td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Group/Art Unit</td><td></td></tr> <tr><td>Attorney Docket Number</td><td>081862.P137</td></tr> </table>		Application Number		Filing Date	February 16, 1999	First Named Inventor	David A. Hughes	Examiner Name		Group/Art Unit		Attorney Docket Number	081862.P137																																																																																																																																																																						
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<b>METHOD OF PAYMENT (check one)</b>  1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">             Deposit Account Number: 02-2666           </div> <div style="border: 1px solid black; padding: 2px;">             Deposit Account Name: Blakely, Sokoloff, Taylor &amp; Zafman LLP           </div> <div style="display: flex; justify-content: space-between; font-size: small;"> <div><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.</div> <div><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</div> </div> 2. <input checked="" type="checkbox"/> Payment Enclosed: <div style="display: flex; justify-content: space-around;"> <input checked="" type="checkbox"/> Check             <input type="checkbox"/> Money Order             <input type="checkbox"/> Other           </div>		<b>FEE CALCULATION (continued)</b>  3. <b>ADDITIONAL FEE</b>  <table border="1" style="width:100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - 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SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Roger W. Blakely, Jr., Reg. No. 25,831		Reg. Number	
Signature		Date	02/16/99	Deposit Account User ID
				02-2666

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